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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Peidong Wang et al.
Serial No.: 09/966,502
Filing Date: 09/28/01
Title: TUNABLE FABRY-PEROT FILTER AND TUNABLE
VERTICAL CAVITY SURFACE EMITTING LASER
Group Art Unit: 2881
Attorney's Docket No.: CORE-84



"EXPRESS MAIL" MAILING LABEL NUMBER ET916626004US

DATE OF DEPOSIT January 30, 2002

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

James A. Sheridan

(PERSON MAILING)

James A. Sheridan 1/30/02
(SIGNATURE)

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

RESPONSE TO OUTSTANDING NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION - FILING DATE GRANTED

In response to the outstanding NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION - FILING DATE GRANTED, Applicant hereby respectfully submits the following:

(1) a DECLARATION AND POWER OF ATTORNEY document listing the names of each of the inventors, specifically identifying the application by serial number and filing date, and signed by the inventors, Peidong Wang, Parviz Tayebati and Daryoosh Vakhshoori;

(2) a duplicate copy of the NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED, as requested in the Notice; and

(3) one (1) check in the amount of \$130.00 to cover the surcharge for the late filing of the Declaration, this charge having been calculated on the basis of Large Entity status.

In the event that any additional fees may be required to be paid in connection with this submission, please charge the same, or credit any overpayment, to Deposit Account No. 16-0221.

A duplicate copy of this RESPONSE TO OUTSTANDING NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION - FILING DATE GRANTED is enclosed for the convenience of the Patent and Trademark Office.

Respectfully submitted,

James A. Sheridan 1/30/02

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CORE-84



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/966,502	09/28/2001	Peidong Wang	CORE-84

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CONFIRMATION NO. 7227

FORMALITIES LETTER



OC000000006998519

Date Mailed: 10/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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